

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

STEPHEN B. AUGER

Serial No. 08/865,419

Filed: May 28, 1997

For: MINERAL STAINS FOR WOOD AND OTHER SUBSTRATES

## LETTER

To the Commissioner of Patents and Trademarks Sir:

In response to the attached Notice to File Missing Parts of Application dated October 10, 1997, kindly accept the attached Declaration and Verified Statement Claiming Small Entity Status for the above-identified application.

A check for \$65 is also attached to cover the government surcharge for late filing of documents.

Respectfully,

James C. Wray, Reg. No. 22,693

Meera P. Narasimhan, Reg. No. 40,252

Art Unit: 1105

1493 Chain Bridge Road

Suite 300

McLean, Virginia 22101

Tel: (703) 442-4800

Fax: (703) 448-7397

December 9,.1997

0300 PE

Patent and Traden

PTO/SB/ xx (6-95) ed for use through OMB 0651-0032 Tice: U.S. DEPARTMENT OF COMMERCE

| 0002/PTO U.S            | S. Department of Commerce | Complete if Known      |              |  |  |  |
|-------------------------|---------------------------|------------------------|--------------|--|--|--|
|                         | tent and Trademark Office | Application Number     | 08/865,419   |  |  |  |
| PE                      |                           | Filing Date            | May 28, 1997 |  |  |  |
| FEE TRANSA              | MITTAL                    | First Named Inventor   | Auger        |  |  |  |
| U 8 1861 P              |                           | Group Art Unit         | 1105         |  |  |  |
| F/                      | 4==                       | Examiner Nam           |              |  |  |  |
| TOTAL AMOUNT OF PAYMENT | (\$) 677.00               | Attorney Dock t Number |              |  |  |  |

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|    | Accor<br>Numb          | unt<br>er    |                   | 02-        | 3704   |  |                     | X                | Check              | 1                 | 1                 | # 88:55E   |                 | FC: 03                       |          |
|    | Depo:<br>Accor<br>Name | unt          |                   | Bre        | nner & Wray  |  |                     |                  | Money              | Order             |                   | 08.00.23<br>08.00.23<br>09.00.14   | רפענבו          | 715/1997<br>FC:205<br>FC:205 | 05<br>01 |
|    | ولكاه                  | ee A         | e Any<br>lequired | Under      | 37 CFR 1.18 at ti                                  | ue Fee Set in 37<br>he Mailing of the<br>wance, 37 CFR |                     |                  | /<br>Other         |                   |                   | 07/27400 07/40000  | 4321101         |                              |          |
|    |                        |              |                   |            |  | FEE (  | CALC                | ULAT             | ION (              | fees eff          | ective)           | 1/1/96)  |                 |                              |          |
| h  | FILIN                  | IG           | FEE               |            |  |  | 3 4                 | DDITI            | ONAL               | . FEES            |                   |  |                 |                              |          |
| 1  |                        | •            | Fee               |            | •  | Fee Paid   | Larg<br>Fee<br>Code | e Entity Fee (8) | Sma<br>Fee<br>Code | il Entity Fee (8) | •                 | Description  | Fee Paid        |                              |          |
| ľ  | Code (i                | *)           | Code              | • (\$)     |  |  | 105<br>139          | 130<br>130       | 205<br>139         | 65                |                   | arge-late filing fee or oath   | 65              |                              |          |
|    |                        | 00           | 20<br>20          |            | 90 Utility filing<br>50 Design filing fee          |  | 147                 | 2 ,390           | 147                | 130<br>2,390      |                   | inglish specification ling a request for reexamination                                     |                 |                              |          |
|    |                        | 40           | 20                | -          | 70 Plant filing fee                                |  | 112                 | 870              | 112                | 870               | Requ              | esting publication of SIR prior to   |                 | ı                            |          |
| ľ  | 08 7                   | 80           | 20                |            | 90 Reissue filing fee                              |  | 113                 | 1, 740           | 113                | 1,740             | Reque             | Examiner action sting publication of SIR after Examiner action                             |                 | -                            |          |
| l  |                        |              |                   | su         | BTOTAL (1) (\$)                                    |  | 115<br>116          | 110<br>380       | 215<br>216         | 55<br>190         |                   | sion for response within first month   |                 | -                            |          |
| h  |                        |              |                   |            |  |  | 117                 | 900              | 217                | 450               |                   | sion for response within second mon<br>sion for response within third month                | <sup>tn</sup> — | -                            |          |
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| I. | Tatal Cla              | . <b>_</b> _ |                   | <b>-</b> - | Extra belov  | Fee Paid   | 120                 | 290              | 220                | 145               | Filing a          | brief in support of an appeal  |                 | - 1                          |          |
| l  | Totai Cla              | 11113        | <u> </u>          | ٠٠ لــ     | 20 = [ x   |  | 121<br>138          | 250<br>1,430     | 221<br>138         | 125<br>1.430      |                   | st for oral hearing  | _               | - 1                          |          |
|    | Independ               | tent         |                   | ٦.         | 3 = Extra X  | _=   | 140                 | 110              | 240                | 55                | Petitio           | n to institute a public use proceeding<br>in to revive unavoidably abandoned<br>optication | · ——            |                              |          |
| ľ  | Claims                 |              | <u> </u>          |            |  |  | 141                 | 1,250            | 241                | 625               | Petitio           | n to revive unintentionally<br>andoned application   |                 |                              |          |
| l  | Multiple               | Des          | enden             | t Clain    |  | =  |                     | 1,280            | 242                | 640               | Utility           | issue (ee (or reissue)   |                 | 13.0                         |          |
| l  | watable                | Dep          | enden             | Clair      | •  |  | 143                 | 420<br>660       | 243<br>244         | 210<br>330        | _                 | n issue fee<br>issue fee   |                 |                              |          |
| I. | arge Er                | :            |                   | -# E-      | eieu.  |  | 122                 | 130              | 122                | 130               | Petitio           | ons to the Commissioner  |                 |                              |          |
|    | .aryo ci               |              | Fee               | Fee        | Fee Description                                    |  | 126<br>581          | 220<br>40        | 126<br>581         | 220<br>40         |                   | sission of Information Disclosure Stm<br>ding each patent assignment per                   | · ——            |                              |          |
|    | ode (\$                |              | Code              | (\$)       | Independent states                                 | . 1  |                     |                  | 301                | 7.5               |                   | perty (x no. properties)   |                 |                              |          |
| ľ  | 02 7                   | đ            | 202               | 39         | Independent claims in<br>excess of 3               | n l  | ?                   | 220              | 7                  | 220               | Protes            | st after application published   |                 | .                            |          |
| 10 | 03 2                   |              | 203               | 11<br>125  | Claims in excess of 2                              |  | 7                   | 1500             | ?                  | 1500              | Late C            | laim for priority during pendency  |                 | .                            |          |
| ľ  | 04 25                  | U            | ∠∪4               | 125        | Multiple dependent o                               | iaim   | 114                 | 150              | 214                | 75<br>FCC         | Provis<br>Code    | sional Application filing  |                 | .                            |          |
| 19 | 9 :                    | 78           | 209               | 39         | Reissue independent over original pa               |  | Oth                 | er fee (s        | pecify)            | 1 x               | inde              | pendent claim  | 41              | 1                            |          |
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| Ξ  |                        |              |                   |            |  |  |                     |                  |                    |                   |                   |  |                 |                              |          |

| SUBMITTED BY            |                     |     |         |                            | C mplet (if applicable) |  |  |
|-------------------------|---------------------|-----|---------|----------------------------|-------------------------|--|--|
| Typed or<br>Printed Nam | Meera P. Narasimhan |     |         | Reg. Number                | 40,252                  |  |  |
| Signature               | monarasimhas        | Dat | 12-9-97 | Dep sit Account<br>User ID |                         |  |  |

Attention: - Carrie Renn

TAY: (703) 305-3599 (3 pages total

To: The Honorable Commissioner of Patents Washington, D.C. 20231

Sir:

Kindly acknowledge receipt of the accompanying:

Letter, Power of Attorney

In re Application of:

STEPHEN B. AUGER

Serial No.

08/865,419

Filing Date:

May 28, 1997

For:

MINERAL STAINS FOR WOOD AND OTHER SUBSTRATES

by placing your receiving date stamp hereon and mailing.

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

Customer Service Center



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Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS

| WASHINGTON, D.C.  | •  | TATES OF P  | Washington, D  | .C. 20231   | THADEMARKS   |
|---|--|---|--|---|--|
| APPLICATION NUMBER  | FILING RECEIPT DATE  |   |  |   | mACe   |
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|   | NOTICE TO BUE MISSI  |   |  |   | 4026000  |
| •   | NOTICE TO FILE MISSI<br>Filing   | ING PARTS O   | F APPLICATION  |   | 10/10/97   |
| An Application Number and Filing required items and fees identified to 3-6 only of \$   |  | Jaio Granteu  |  |   |  |
| required items and fees identified to 3-6 only of \$  | TWO MONTHS FROM THE pandonment. Extensions of ti   | all entity in comp<br>DATE OF THIS<br>ime may be obta | liance with 37 CFR<br>NOTICE within which<br>ined by filing a petition | 1.27. The surce that to file all requests on accompanies. | TGE for items 1 and<br>harge is set forth in<br>lired items and pay<br>ed by the extension |
| missing. insufficient. Applicant must submit \$ statement claiming such statement claiming such statement claim fees of \$ Applicant must either submit | is:<br>790<br>tus (37 CFR 1.27).  1 24   | lete the basic fili                                   | ing fee and/or file a  | verified small (  | eritity  |
| does not cover the newly does not identify the appl does not include the city An oath or declaration in comthe above Application Number                 | submitted items. ication to which it applies. and state or foreign country of pliance with 37 CFR 1. 63, in  | ouniona   | i ciaims for which fe  | ees are due.<br>.• .                                      |  |
| missing.  | declaration is/are:  ventor or person qualified unit  laration in compliance with 3  | der 37 CFR 1.42<br>17 CFR 1.63, idc                   | 2, 1.43, or 1.47, intifying the applicat                               |   |  |
| An oath or declaration listing to<br>the above Application Number   | he names of all inventors and<br>and Filing Date, is required  | d signed by the                                       | omitted inventor(s),   | identifying this  | application by   |
| 7. Your filing receipt was mailed in 3. The application does not complete.  | ing fee is required since your<br>error because your check w   | r check was retu<br>vas returned with                 | imed without payme<br>nout payment.                                    | int (37 CFR 1.  | 21(m)),  |
| See attached "Notice to Comply  9 OTHER:  | with Sequence Rules.  with Sequence Rules 37 CF  | "R 1.821-1.825."                                      |  |   |  |

sppy of this notice MUST be returned with the response.

5 DEC 0 9 1997

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In re Application of

RECEIVED

STEPHEN B. AUGER

DEC 1 0 1997

Serial No. 08/865,419

Art Unit: 1105

**GROUP 1100** 

Filed: May 28, 1997

For: MINERAL STAINS FOR WOOD AND OTHER SUBSTRATES

## LETTER

To the Commissioner of Patents and Trademarks Sir:

Kindly accept the attached Power of Attorney for the aboveidentified application and send all future correspondence to the undersigned.

Respectfully,

James C. Wray, Reg. No. 22,693 1493 Chain Bridge Road, Suite 300

McLean, Virginia 22101 Tel: (703) 442-4800 Fax: (703) 448-7397

December 9, 1997